

Investment Advisor ("IA") Information (This portion to be completed by IA.)

IA Firm Name (please print): _____

IA Master Account Number: _____ Service Team: _____

1. Account Holder Information

Account Number _____

First Name _____ Middle _____ Last _____

Additional Account Holder's First Name _____ Middle _____ Last _____

2. Issuer Communications and Related Actions

If you have granted your Investment Advisor ("IA") trading authority over your Account and your IA exercises investment discretion for you pursuant to an advisory contract, you can appoint your IA to be sent certain issuer and issuer-related communications (such as proxies, corporate reorganizations and other corporate actions, including tender offers, proposed mergers, rights offerings, exchange offers and warrants, among other things), that may require a voting decision or other action, regarding investments held in your Account.

If you appoint your IA in Sections 2A, 2B and/or 2C below, your IA will, regarding only those voting decision or other action communications sent to your IA: (i) be requested to vote proxy ballots; (ii) be requested to provide instructions regarding corporate reorganizations and other corporate actions; and (iii) be sent certain prospectuses and annual reports and other communications ("interim mailings"). In these cases, you will be authorizing your IA to make all voting decisions and take all actions on your behalf.

Even if you appoint your IA in any or all of the sections below, you may still be sent certain other issuer and issuer-related communications regarding investments held in your Account. You agree that you will be responsible for providing Charles Schwab & Co., Inc. ("Schwab") any applicable instructions or directions on those items.

If you do not make a selection in any, or all, of Sections 2A, 2B or 2C below, Schwab will send you the applicable communications and deem you to have indicated that you will be responsible for providing Schwab any applicable instructions or directions on those items, but informational copies of those communications and issuer-related communications may be sent to your IA.

Please indicate whom you authorize to receive and vote proxies, make reorganization decisions and receive interim mailings. Select only one option for each item.

A. Proxy Voting Authorization and Mailing Preferences

Select only **one** who should be sent and vote proxy ballots:

Account Holder IA

Select only **one** who should be sent informational, nonvoting copies:

Account Holder IA (May choose only if Account Holder is voting.) None

B. Corporate Reorganizations and Other Corporate Actions

Select only **one** who should be sent response coupons and make reorganization decisions:

Account Holder IA

Select only **one** who should be sent informational copies:

Account Holder IA (May choose only if Account Holder is voting.) None

C. Interim Mailings

Please indicate only **one** who should be sent interim mailings:

Account Holder IA Both Account Holder and IA

For Charles Schwab Use Only

Account Number _____



3. Objection to Release of Information to Issuers

Rule 14b-1 of the Securities Exchange Act of 1934, as amended, requires that, upon the issuer’s request, Schwab disclose your name, address and security positions to the issuer of any security held in your Account unless you object to disclosure of this information.

- Yes, I object to Schwab’s release of my name, address and securities positions to issuers whose securities are held in my Account.
- No, I do not object to Schwab’s release of my name, address and securities positions to issuers whose securities are held in my Account.

4. Please Read and Sign

This Issuer Communications and Release of Information Form (“Form”) is incorporated by reference into your Schwab Account Agreement. If you designate your IA to vote proxies or exercise other security holder rights, you represent that: (i) you have granted your IA trading authority; (ii) your IA exercises investment discretion for you pursuant to an advisory contract; and (iii) you have authorized your IA to be sent and vote such proxies and/or exercise such security holder rights. To the extent of any conflict between this Form (and the information provided herein) and your Account Agreement, the terms of, and the information in, this Form will govern. The authorization(s) I indicated in this Form will remain effective until I have revoked any of them by giving notice to Schwab. (Schwab may require that such notice be in writing.)



 Signature: Account Holder/Trustee/Custodian/Executor
 Date
(mm/dd/yyyy)



 Signature: Additional Account Holder/Co-Trustee/Co-Executor
 Date
(mm/dd/yyyy)



 Signature: Additional Account Holder/Co-Trustee/Co-Executor
 Date
(mm/dd/yyyy)

All Account Holders must sign.

For Charles Schwab Use Only <div style="text-align: center; margin-top: 5px;">Account Number</div>
